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U.S. APPLICATION NO.	· ·							
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	507 <b>1</b>	INTERNATIONAL LIPILERTION NO. 10506
VILLIAM M LEE JR		
EE MANN SMITH MCWILLIAMS	SWEENEY &	: OHLS
PO BOX 2786		
CHICAGO IL 60690-2786		LA PRINT DAYS 26/ 10 PRIORITY DATS /27/9
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NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED

1 The Auto Designati	ED/ELECTED OFFICE (DO/EO/US)	WATTER
1. The following items have been submitted by the a	applicant of the IP to at a state (DO/RO/US)	
Office as / Basignated Office (37 CFR 1.	applicant of the IB to the United States Patent and Trademark 494) [Y] an Elected Office (37 CFR 1.495):	
D.S. Basic National Fee.	The provide Office (3) CFR 1 40(1).	
Copy of the international application.	Indication of Small Entity Status.	3 0 11
Oath or Declaration of inventors(s).	La de la companya de	កា វ
Copy of Article 19 amendments.	Live amendments into Pactical	> 8
Priority Document.	Other: 200	
The law of		ر رين
The International Preliminary Examination		
Translation of Annexes to the Internation	on keport in English and its Annexes, if any.  (al Preliminary Examination Report into English.	ළා ද
a <u></u> .	Examination Report into English.	ഹ '
2. Applicant has requested early processing under		
the indicated items in paragraph 3 below. The Basic I	National Fee and the course of the following indicated iter	Section of
prior to 20 or 30 months from the priority date to such	35 U.S.C. 371(f) but has not filed the following indicated ite National Fee and the copy of the international application must id abandonment.	t be nied
U.S. Basic National Fee.	Copy of the international application.	
3. The following to a many	C) 11 and an application.	
3. The following items MUST be furnished within the	e period set forth below in order to complete the requirements	
Translation of the	octow in order to complete the requirements	for
into English of the application into English	lish A processing successing	
later than the appropriate 20 or 30 m	nonths from the priority date	
The current translation is defective for	nonths from the priority date.  or the reasons indicated on the attached Notice of Defective	
b. Processing for for any time	and an area medice of Defective	
Commenter 20	ation of the application and/or the Annexes later than the	
appropriate 20 or 30 months from the	te priority date (37 CFR 1.492(0)	
the application to the inventors, in	the priority date (37 CFR 1.492(f)).  compliance with 37 CFR 1.497(a) and (b), properly identifying management and the property identifies and the pro	
surcharge will be moved to	compliance with 37 CFR 1.497(a) and (b), properly identifyi ternational application number and international filing date).	ng
wit.	* I T T T T T T T T T T T T T T T T T T	A.
The current oath or declaration does	not comply with 37 CFR 1.497(a) and (b) for the reasons	У
indicated on the attached PCT/DO/E	not comply with 37 CFR 1.497(a) and (b) for the reasons	-
d. Surcharge for providing the oath on dea	O/917.  Laration later than the appropriate 20 or 30 months from the	
priority date (37 CFR 1.492(e)).	laration later than the appropriate 20 or 30 months from the	
Additional claim feet of C		
laim fee, are required. Applicant must submit the add	rge entity _ small entity, including any required multiple de	nendene
ue (37 CFR 1.492(g)). See attached PTO-875	rge entity small entity, including any required multiple de litional claim fees or cancel the additional claims for which fe	
Applicant to	TO WINCH IC	es HIG

5. Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR

6. If box 3a or 3c is checked, a translation of the Annexes MUST be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. \_\_\_\_ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d))

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37

A copy of this  Enclosed: PCT/DO/E0/917  PTO-875	notice MUST be returned wi	ith this response.
FORM PCT/DO/EO/905 (March 2001)	PCT/DO/EO/920 Telephone:	KayarBellimore National Stage Process (703) 305-3096



JC10 Record CT/PTO 2 1 FEB 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF	) /
Jörg Horzel et al.	) ATTN: Application Branch )
SERIAL NO. 09/890,376	
FILED: July 27, 2001	)
FOR: Method for Fabricating Thin Film Semiconductor Devices	) ) ) I hereby certify that this correspondence is being deposited with the Unite. States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Box: Missing Parts, Washington, D.C. 20231 on October 23, 2001.
	Name of person signing Deborah E. Dudek Signature Devorah E. Duduk

## **SUBMISSION OF DECLARATION**

Honorable Director of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

In response to the Notice to file Missing Parts of Application, issued September 17, 2001, submitted herewith is a declaration, signed by the inventors. Therefore, the requirement of the Patent and Trademark Office for a declaration has been met.

In accordance with the provisions of the rules of the Patent and Trademark Office, the required surcharge of \$130.00 is appended hereto.

October 23, 2001

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130.00 OP

Respectfully submitted,

William M. Lee, Jr.

Registration No. 26,935

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Sweeney & Ohlson

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